

03-21-01

Docket No CS98-106/7/8C

Anticipated Classification of this application:

Class : Subclass :

Prior application:

Examiner: T. NguyenArt Unit: 2813

Commissioner of Patents and Trademarks Washington, D.C. 20231

FILING UNDER 37 CFR 1.53(b)

This is a request for filing a

☒ Continuation
☐ Divisional

application under 37 CFR 1.53(b), of pending prior application

serial no. 09/351,237 filed on 7/12/99of YI XU, JIA ZHEN ZHENG, JANES C.M. HUI, CHARLES LIN, YIH SHUNG LINfor GAP FILLING PROCESS IN INTEGRATED CIRCUITS USING LOW DIELECTRIC CONSTANT**MATERIALS****1. Copy of Prior Application as Filed which is Attached**

☒ I hereby verify that the attached papers are a copy of what is shown in my records to be the above identified prior application, including 'the oath or declaration originally filed (37 CFR 1.53(b)) (See 6 for drawing requirements.)

☒ Declaration enclosed

2. Amendments

☐ Cancel in this application original claims _ _ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

☒ A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

EXPRESS MAIL CERTIFICATEExpress Mail No. EF230886448 US

I Hereby Certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, DC 20231. Applicant and/or Attorney requests the date of deposit as the Filing Date

3/26/01
Date of Deposit

[Signature]
Signature / Date

3/26/01

03/26/01
Jc867 U.S. PTO

Jc986 U.S. PTO
09/817473
03/26/01

3. Fee Calculation

CLAIMS AS FILED				
FOR:	NO. FILED	NO. EXTRA	RATE	FEE
BASIC FEE				\$ 710.
TOTAL CLAIMS	20 -20=	0	x 18 =	\$ 0.
INDEP CLAIMS	3 -3=	0	x 80 =	\$ 0.
MULTIPLE DEPENDENT CLAIM PRESENTED			+ 260 =	

Filing Fee Calculation \$ 710

4. Method Of Payment of Fees

- ☒ Charge Account No. 19-0033 in the amount of \$ 710. A duplicate of this request is attached.
- ☒ The Commissioner Is hereby authorized to charge the following additional fees which may be required to Account No. 19-0033
- ☒ 37 CFR 1.16 (filing fees and presentation or extra claims)

5. Drawings

Note: Do not check the following box if prior case is not to be abandoned.

- ☐ Transfer the drawings from the prior application to this application and, subject to item 13 below, abandon said prior application as of the filing date accorded this application. A duplicate Copy of this request is enclosed for filing in the prior application file. (May only be used if signed by (1) applicant (2) assignee of record or (3) attorney or agent of record authorized by 37CFR 1.138 and before payment of issue fee.)

- ☒ New drawings are enclosed
- ☐ formal ☒ Informal

6. Priority - 35 U.S.C. 119

- ☐ Priority of application serial no. _____ Filed on _____ In _____ Is claimed under 35 U.S.C. 119.
- ☐ The certified copy has been filed in prior application serial no. _____ filed on _____

7. Assignment

- ☒ The prior application is assigned of record to
CHARTERED SEMICONDUCTOR MANUFACTURING LTD.

8. Power of Attorney

☒ The power of attorney in the prior application is to **GEORGE O. SAILE, REG. NO. 19,572**

a. ☒ The power appears in the original papers in the prior application

b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.

c. ☐ A new power has been executed and is attached.

d. ☒ Address all future communications to

**George O. Saile
20 McIntosh Drive
Poughkeepsie, N.Y. 12603**

9. Maintenance of copendency of Prior Application

(This item must be complete and the papers filed if the period set in the prior application has run)

☐ A petition, fee and response has been filed to extend the term in the pending prior application until _____.

☐ Please abandon the prior application when the petition for extension of time in that application is granted and when this application is granted a filing date so as to make this application copending with said prior application.

I hereby declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Stephen B. Ackerman, Reg. No. 37,761

Type name of person signing



Signature

March 26, 2001

Date

20 McIntosh Drive

P.O. Address of Signatory

Poughkeepsie, NY 12603

Telephone No.: (914) 452-5863

☐ Inventor

☐ Assignee of complete interest

☐ Person authorized to sign on behalf of assignee

☒ Attorney or agent of record

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Yi Xu
Examiner Name	T. Nguyen
Group Art Unit	2813
Attorney Docket No.	CS08-107/108/109C

TOTAL AMOUNT OF PAYMENT (\$)

110.

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number: 19-0033
- Deposit Account Name: George O. Saile
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☐ Payment Enclosed:
- ☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify) <u>Statutory Disclaimer</u>			110.

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
-20** =	X		
Independent Claims - 3** =	X		
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

110.

SUBMITTED BY

Name (Print/Type)	Stephen B. Ackerman	Registration No. (Attorney/Agent)	37,7101	Telephone	845-452-5863
Signature		Date	March 26, 01		

EXPRESS MAIL CERTIFICATE

Express Mail No. EF230886448 US

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